**SPF Testing**

A simplified explanation of the procedure for testing a Sunscreen product.

**Supportable Claims**

- Sunscreen
- SPF Number
- Water Resistance
- Premature Aging and Skin Cancer protection - if combined with UVA testing to show broad spectrum protection

SPF measurement is performed on a panel of at least 10 human adult volunteer test subjects with selected skin types which show sun-burning skin reaction. Subjects who only tan, or who have dark skin are excluded, as a skin reaction will not be visible. The test panel is selected from volunteers who do not have any history of sensitivity to skin product ingredients and who who have an appropriate health history. The requirements of the test vary for some markets.

**Product Application**

A very accurately measured and controlled amount (2 mg/sq cm) of product is applied to a marked out area of skin. The product is evenly spread, using a standardised technique. The product is then allowed to dry for 15 to 30 minutes.

**Water Resistance**

If Water Resistance is to be tested, then the test subject is exposed to warmed water in a spa pool or equivalent. The test subject’s activity, movement of the water and spa pool aeration are controlled for the time claimed, which can be between 40 minutes and 4 hours. Temperature and water condition is tightly controlled.

**Solar Simulation**

A Solar simulator, which has been designed and calibrated to imitate the spectrum of sunlight, is used to apply small incremental doses of light to the protected area. An unprotected area and an area with a Standard Sunscreen applied, are also exposed. Overnight, a mild erythema (slight sunburn) develops at test sites where the SPF has been exceeded.

**Reading of Results**

The results are read around 24 hours after the exposures were made. The test value taken is the point where there is a slight but clearly visible reddening of the skin. As can be seen in figure 4, this is usually next to one spot with no colour change and one spot with slightly more colour change. The SPF is a simple ratio of the number of seconds of light exposure, divided by the value for the unprotected exposure seconds.

**References**

[Monographed Methods]

AS/NZS 2604 2012
ISO 24444 : 2010
FDA Final Monograph 2011
China FDA 2008

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SPF TEST ACCORDING TO THE ISO 24444 PROTOCOL

1. Objective
The test panel will be convened to evaluate the effectiveness of a test material as a sunscreen product by determining the Sun Protection Factor (SPF) on human skin as described in the document: International Standard ISO 24444 - Cosmetics - Sun Protection Test methods -in-vivo determination of the sun protection factor (SPF).

2. Test Material Handling
The record of the sample is entered into a log identifying the lot number, sample description, batch number, sponsor, date received and tests requested. Samples are retained for a period of two years beyond final report generation.

3. Ethical Principles for Conduct of Study
3.1 The study will be conducted in accordance with the principles as described in the document WMA Declaration of Helsinki – for Medical Research Involving Human Subjects.

4. Standard for Inclusion of a Panelist in a Study
4.1 Individuals over the age of consent.
4.2 Individuals free of any dermatological or systemic disorder which would interfere with the results, at the discretion of the investigator.
4.3 Individuals who have completed a preliminary medical history evaluation.
4.4 Individuals who have read, understood and signed an informed consent document relating to the specific study to which they are subscribing.
4.5 Individuals with no known abnormal response to sunlight.

5. Standard for Exclusion of a Panelist from a Study
5.1. Individuals taking medication which in the opinion of the investigator would mask or interfere with the results.
5.2. Individuals with chronic skin allergies.
5.3. Individuals with suntan or sunburn.
5.4. Individuals with abnormal reaction to the sun.
5.5. Pregnant or lactating females.
5.6 Subjects accustomed to using sun beds.
5.7 Subjects who have participated in an SPF study within the last two months.
6. Informed Consent and Medical History Forms
An informed consent will be obtained from each volunteer prior to initiating the study describing reasons for the study, possible adverse effects, associated risks and potential benefits of the treatment and their limits of liability. Panelists sign and date the informed consent document to indicate their authorisation to proceed and acknowledge their understanding of the contents. Each subject is assigned a permanent identification number and completed an extensive medical history form. These forms along with the signed consent forms, are available for inspection only on the premises of Dermatest Pty Ltd and during normal office hours.

7. Panel Composition
A minimum of ten healthy volunteers, between the age of consent and 70 years will be recruited for this study. The panel consisted of fair skin individuals with Fitzpatrick skin types I, II or III.

8. Solar Simulation
The light source employed is a small beam 150 watt Xenon Arc Solar Simulator (Solar Light Co., Philadelphia, Pennsylvania, Model 16S or Model 601) having a continuous emission spectrum in the UV range from 290 to 400 nm and compliant with the spectral performance requirements of the Annex B of the ISO protocol. Xenon arc is selected on the basis of its black body radiation temperature of 6000K which produces continuous UV spectra (all wavelengths) substantially equivalent to that of natural sunlight. This device is equipped with a dichroic mirror (which reflects all radiation below 400nm) and works in conjunction with a 1 mm thick Schott WG320 filter (which absorbs >99% of radiation below 290nm) to produce simulation of the solar UVA©UVB spectrum. A 1 mm thick UG 11 filter (black lens) is added to remove reflected (infra-red, greater than 700 nm) heat and remaining visible radiation. UV radiation is monitored continuously during exposure using a Sunburn UV Meter/Dose Controller System (Solar Light Co). Measurements are taken at a position within 8 mm from the surface of the skin. The field of irradiation is >0.5 cm in diameter, with at least 1 cm between each adjacent site. Realignment of the Light Sources and calibration of the sunburn meters are conducted by independent certification facilities and adjustment to light source power supply only by the Director. The calibration data for each solar simulator will appear on the test report in the following tabular format.

<table>
<thead>
<tr>
<th>Spectral Range nm</th>
<th>Measured % RCEE Limits</th>
<th>Solar Sim</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt;290</td>
<td>&lt;0.1</td>
<td>s/n:</td>
</tr>
<tr>
<td>290 to 300</td>
<td>1.0</td>
<td></td>
</tr>
<tr>
<td>390 to 310</td>
<td>49.0</td>
<td>8.0</td>
</tr>
<tr>
<td>290 to 320</td>
<td>85.0</td>
<td>90.0</td>
</tr>
<tr>
<td>290 to 330</td>
<td>91.5</td>
<td>95.5</td>
</tr>
<tr>
<td>290 to 340</td>
<td>94.0</td>
<td>97.0</td>
</tr>
<tr>
<td>290 to 400</td>
<td>99.9</td>
<td>100.0</td>
</tr>
</tbody>
</table>
9. Determination of Water Resistance (Where Conducted)
This does not form part of ISO 24444, but is a requirement of AS/NZS 2604 (2012) for those products making a water resistance claim. This test is employed to determine the substantivity of a test product and its ability to resist water immersion. The procedure described in Appendix B of AS/NZS 2604 is followed. The immersion schedule is listed as follows:
9.1 Subject immersed in the Spa pool for 20 min with 4 min of air agitation.
9.2 5 minutes rest period out of the water. This sequence is repeated for the required time period. Immersion is achieved indoors in a circulating whirlpool tub through 1.5 cm diameter ports. The water is maintained at an average temperature of 33°C +/- 2°C. The pH of the water is maintained between 6.8 and 7.2.
The test area is air dried prior to exposure from the solar simulator using 25% increments. The exact series of exposures given is determined by the control MED and the expected SPF of the product.

Water Resistance Category Description for AS/NZS 2604 (2012)

<table>
<thead>
<tr>
<th>Tested SPF after Immersion</th>
<th>Maximum Water Resistance Claimable</th>
</tr>
</thead>
<tbody>
<tr>
<td>At least 4 but less than 8</td>
<td>No Claim</td>
</tr>
<tr>
<td>At least 8 but less than 15</td>
<td>40 min</td>
</tr>
<tr>
<td>At least 15 but less than 30</td>
<td>2 hrs</td>
</tr>
<tr>
<td>At least 30 or above</td>
<td>4 hrs</td>
</tr>
</tbody>
</table>

10. Determination of the Sun Protection Factor
One test site area of 40 sq.cm serves to determine each subject’s Minimal Erythema Dose (MEDu). This is executed by exposing the back to a series of 5 timed incremental UV exposures at 112% or 125% intervals. The individual subject’s MEDu is the shortest time of exposure that produces perceptible unambiguous redness at 16 to 24 hours post irradiation. The test area is described as the infrascapular area of the back to the right and left of the midline. The application area is 40 sq.cm. The product is delivered to the test site through plastic volumetric syringes. The material is evenly applied to a rectangular area for a final covering of 2.0 mg/sqcm +/- 2.5%.
The product is deposited in a series of evenly distributed spots and then spread evenly with a finger cot. Product application, UV exposures and measurements of responses will be conducted in stable environmental conditions with the room temperature maintained between 18°C and 26°C. 15 to 30 minutes after application, a series of 5 UV light exposures in 112.5% increments calculated from previously determined MED’s bracketing the expected SPF will be administered from the solar simulator to subsites, each with an area of not less that 1 cm sq and spaced at 1 cm separation within the treated area. On the actual day of testing another series of exposures is administered to an adjacent untreated site of unprotected skin to re-determine the MED. An adjacent test site is then selected to perform a static determination on the test substance.
A reference sunscreen, as described in Annex c of the ISO 24444 Standard, is also applied to each of the test subjects, utilising an application exposure procedure which is the same as that utilised for the test product.
Following UV exposure to all test sites, the product is gently removed using moist soft tissue together with ethanol if needed.
11. Evaluation of Response
The volunteers are instructed to return to the testing facility sixteen to twenty four hours post exposure, for evaluation of delayed erythemic response. The smallest exposure or the least amount of energy required to produce unambiguous redness (MED₀) in the treated site is recorded. The SPF is then calculated by the equation: \( \text{MED}_p (\text{Protected Skin}) / \text{MED}_u (\text{Unprotected Skin}) = \text{SPF}_i \) (calculated to one decimal place).

12. Calculations and Statistics
The SPF_i values from an initial panel of the first 10 test subjects are sequentially evaluated in order to determine a provisional mean Sun Protection Factor (SPF_n1). The statistical criteria described in the test method were then applied to determine a confidence interval and statistical variance. Where necessary, additional subjects will be tested according to the protocol if the first 10 results are not found to be within the specified range.

13. Rejection Criteria
Panelist’s results will be rejected and the panelist replaced if:
13.1. The responses on the treated test site are randomly absent or out of sequence. This is an indication that the products are not spread uniformly.
13.2. An MED could not be obtained due to elicited response at all exposure sites.
13.3. The exposure series failed to elicit an MED response on either the untreated or the applied skin areas. The test is then considered a technical failure and the subject’s data is discounted.

14. Individual Panelist Results
These will be set out in the attached report in ISO 24444 spreadsheet format.

15. Observations
No adverse effects or unexpected reactions of any kind will be recorded.

16. Archiving: All original samples, raw data sheets, technicians notebooks, correspondence files and copies of final reports and remaining specimens are maintained on premises of Dermatest Pty Ltd in limited access storage files. A duplicate disk copy of final reports is archived separately off site.

17. Colour Discrimination Test
All technical employees of Dermatest Pty Ltd who are involved in scoring of exposed skin spots are required to take and pass a visual colour discrimination examination using the Farnsworth-Munsell 100 Hue Test.
18. Certification for E.U. Compliance
Where requested, an additional certification, indicating compliance with the E.U. requirements for sunscreens, can be provided.

Where requested, an additional certification, indicating compliance with AS/NZS 2604 (2012) requirements for SPF and Water Resistance requirements for sunscreens, can be provided.

References
1. Dermatest SOP – 010 Procedure for Conducting an SPF Study.
5. WMA Declaration of Helsinki – for Medical Research Involving Human Subjects.